

41

#1763

## AMENDMENT TRANSMITTAL LETTER

Attorney Docket No:  
KAGEI-0102-USApplication Serial Number:  
09/988,559Filing Date:  
11/20/2001Examiner:  
HASSANZADEH,  
PARVIZArt Unit:  
1763RECEIVED  
JAN 26 2004

Invention: METHOD AND APPARATUS FOR TREATING SURFACE OF SUBSTRATE

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below. TC 1700

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	NO. OF EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	11	MINUS	11	0	\$18	\$0
INDEP. CLAIMS	3	MINUS	3	0	\$86	\$0

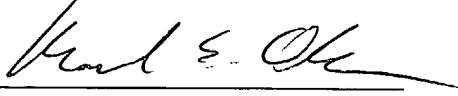
X Petition is hereby made under 37 CFR 1.136(a) to extend the time for response to the Office Action of 9/16/2003 to and through 1/16/2004, comprising an extension of the shortened statutory period of:

X one month (\$110.00)      \_\_\_\_\_ three months (\$950.00)  
\_\_\_\_\_ two months (\$420.00)      \_\_\_\_\_ four months (\$1,480.00)

TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$110.00

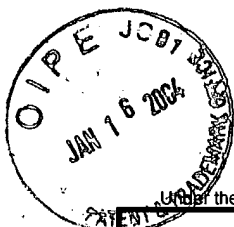
- \_\_\_\_\_ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- \_\_\_\_\_ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- X A check in the amount of \$ 110.00 is attached.
- \_\_\_\_\_ Charge \$ \_\_\_\_\_ to Deposit Account 50-2842.
- \_\_\_\_\_ No additional fee is required.

Date

1/16/04  
Mark E. Olds  
Reg. No. 46,507

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, or the correspondence is being hand delivered to the USPTO Customer Service Window on .

  
Mark E. Olds Reg. No. 46,507



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PTO/SB/22 (08-03)  
Approved for use through 7/31/2006. OMB 0651-0031  
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**PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)**

Docket Number (Optional) **KAGEI-0102-US**

In re Application of **WADA et al.**

Application Number **09/988,559**

Filed **11/20/2001**

For **METHOD AND APPARATUS FOR TREATING SURFACE OF**

Art Unit **1763**

Examiner **HASSANZADEH,**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1)) \$ 110.00  
☐ Two months (37 CFR 1.17(a)(2)) \$ 420.00  
☐ Three months (37 CFR 1.17(a)(3)) \$ 950.00  
☐ Four months (37 CFR 1.17(a)(4)) \$ 1,480.00  
☐ Five months (37 CFR 1.17(a)(5)) \$ 2,010.00

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ 01/21/2004 DTESSEH1 00000065 09908559

☒ A check in the amount of the fee is enclosed. 01 FC:1251 110.00 0P

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-2842

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).

☐ attorney or agent of record. Registration Number                     

☒ attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) 46.507

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1/16/04  
Date

Mark E. Olds  
Signature

888-510-0695  
Telephone Number

Mark E. Olds  
Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.